



Pharmacognosy and Medicinal Chemistry of Traditional Chinese Medicine

Sandhya Jinesh^{*1} and Rajan Radhakrishnan²

¹West Haven Pharmacy, West Haven CT, USA, ²Mohammed Bin Rashid University College of Medicine, Dubai, UAE

Corresponding Author: Sandhya Jinesh, West Haven Pharmacy West Haven, CT 06516 Tel: +1-845-893-2716 E-mail: smenon.rx@gmail.com

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Abstract

Traditional Chinese Medicine (TCM) is an ancient system of medicine that is based on a holistic approach to the human body. One of the major problems facing TCM, as with other traditional medical systems, is the difficulty with authentication of the drugs and quality control of its formulations. Majority of the drugs used in TCM are derived from plants. Therefore, in the current review, we researched pharmacognostic methods that are used to standardize and authenticate TCM drugs. We found that, with the advance of science and technology, several physicochemical methods are being utilized to standardize TCM drugs. Modern pharmacognosy is very much dependent on phytochemical techniques. We have summarized the common pharmacognostic and chemical analytical methods used to authenticate TCM drugs and to correlate the TCM properties of these drugs to their chemical nature. Chemical analytic techniques are extremely valuable in authentication and quality control of plant-based drugs and their formulations found in traditional systems such as TCM, Ayurveda, and Kampo.

Keywords: Traditional Chinese Medicine; TCM; Pharmacognosy; Phytochemistry; Chemical Analysis; Quality Control; Medicinal Chemistry

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Introduction

Traditional Chinese Medicine (TCM) is an ancient system of medicine which is based on holistic treatment of the human body in health and disease. In TCM, the balance and harmony between several physical and spiritual components of the human body are of utmost importance--for example the balance between Ying and Yang and relationship of 5 elements to different organs etc [1]. A physician or scientist trained purely in modern science may not be able to fully appreciate and understand these theories of balance and harmony that cannot be explained based on our current knowledge of science. One of the current major problems with TCM is the absence of evidence of clinical efficacy from randomized controlled clinical studies [2]. Also, the quality control and authentication process of TCM medications pose great challenges. The vast majority of the drugs used in TCM are of plant origin (Table 1), although some animal tissues are also in TCM. Several laboratories have sought to study the physio- chemical nature and pharmacological effects of TCM medications using modern techniques. A major characteristic of TCM formulations is the complexity of the ingredients [3]. This creates a major problem with maintaining consistency between different practitioners or manufa-cturers who formulate TCM medications, and also hinders quality control and clinical efficacy studies. These problems exist with medications in other ancient systems of medicines, including Ayurveda, Unani, Kampo etc.

Drug	Clinical use	Traditional use	Plant name	Common name	TCM name
Aescin	anti-inflam matory	anti-inflammatory	Aesculus hippocastanum	horse chestnut	桫檬子
Aesculetin	anti-dysentery	anti-dysentery	Fraxinus rhychophylla Hance	ash bark	白蠟樹皮
Agrimophol	anthelmintic	anthelmintic	Agrimonia pilosa	agrimony	仙鹤草
Ajmalicine	anti-arrhythmia	tranquillizer	Rauwolfia serpentina	Indian snake root	蘿芙木
Artesunate	anti-malarial	treatment of fever, chills	Artemisia annua	sweet wormwood	青蒿
Deserpidine	anti-hypertensive	anti-hypertensive	Rauwolfia serpentina	Indian snake root	蘿芙木
Allyl isothiocyanate	rubefacient	rubefacient	Brassica alba	mustard	芥子
Andrographolide	anti-dysentery	anti-dysentery	Andrographis paniculata Ness	andrographis herb	穿心蓮
Arecoline	anthelmintic	anthelmintic	Areca catechu L.	betel nut	檳榔
Asiaticoside	vulnerary	vulnerary	Centella asiatica L. Urban	centella	积雪草, 崩大碗
Atropine	anti-cholinergic	pupil dilation	Atropa belladonna Linné	deadly nightshade	癩茄
Berberine	anti-dysentery	treatment of gastric ailments	Berberis sargentiana	Chinese barberry	刺黃蓮
Caffeine	stimulant	stimulant	Camellia sinensis (L) Kuntze	tea	茶
(+)-Catechin	haemostatic	haemostatic	Potentilla fragarioides L.	dewberry	委陵菜
Cocaine	local anaesthetic	stimulant	Erythroxylum coca Lamk	coca	古柯
Codeine, morphine and	analgesic	analgesic	Papaver somniferum L.	opium	罌粟
papaverine					
Colchicines and demecoline	anti-tumour	anti-gout	Colchicum autumnale L.	colchicum seed	秋水仙
Curcumin	choleretic	choleretic	Curcuma longa L.	ginger	蓋黃
Sennoside	laxative	laxative	Cassia acutifolia Delile	senna	尖葉番瀉樹
Digoxin and deslanoside	cardiotonic	cardiotonic	Digitalis lanata	foxglove	長葉毛地黄
Digitoxin and gitalin	cardiotonic	cardiotonic	Digitalis purpurea	foxglove	毛地黄
Emetine	amoebicide, emetic	amoebicide, emetic	Cephaelis ipecacuanha	ipecac	吐根
Ephedrine and norepinephrine	sympathomimetic	chronic bronchitis	Ephedra sinica Stapf	ma huang root	麻黄
Hyoscyamine	anti-cholinergic	sedative	Hyoscyamus niger L.	henbane	莨菪
Kainic acid	ascaricide	anthelminthic	Digenea simplex	digenea	鷓鴣菜
α-Lobeline	smoke deterrent, respiratory stimulant	expectorant	Lobelia inflate L.	Chinese lobelia	半邊蓮
Quinidine	anti-arrhythmic	anti-malarial	Cinchona officinalis	cinchona bark	金雞納
Quinine	anti-malarial	anti-malarial	Cinchona officinalis	cinchona bark	金雞納
Quisqualic acid	anti-helminthic	antihelminthic	Quisqualis indica L.	rangoon creeper	使君子
Rhomitoxin	anti-hypertensive, tranquillizer	anti-hypertensive	Rhododendron molle G. Don	yellow azalea	鬧羊花
Rotundine, tetrandrine	anti-hypertensive	anti-hypertensive	Stephania tetrandra S. Moore	fangchi	漢防己
Salicin	analgesic	analgesic	Salix alba L.	willow	柳
Scopolamine	sedative	sedative	Datura metel L.	thorn apple leave	曼陀羅
Silymarin	anti-hepatotoxic	treatment of liver disorders	Silybum marianum (L) Gaetn	holy thistle	水飛蓟
Strychnine	stimulant	stimulant	Strychnos nux-vomica L.	nux vomica	馬錢子
Tetrahydrocannabinol	anti-emetic, reduces ocular tension	treatment of intestinal problems	Cannabis sativa L.	hemp	大麻
Tetrahydropalmatine	analgesic, sedative, tranquillizer	sedative	Corydalis ambigua (Pallas) Cham. et Schi	corydalis	延胡索
Theobromine, theophylline	diuretic, vasodilator	diuretic	Camellia sinensis (L) Kuntze	tea	茶

Table1: Comparison of TCM use and modern clinical use of some of the herbal medicines.

(Source: Efferth et al., 2007)

Despite these many problems, there has been a recent upsurge of interest in ancient traditional medical systems in the West, mostly because several anticancer compounds were isolated from natural medicinal products used by traditional systems of medicine. There is still a need for newer anticancer agents since only a limited proportion of cancer patients respond favorably to commonly prescribed chemotherapeutic drugs. The majority of anticancer agents in current clinic use are either naturally occurring or derivatives of natural products, such as Vinca alkaloids, taxanes, podophyllotoxin, camptothecins and anthraxcyclines. Hundreds of scientific papers have studied the anti- cancer properties of natural products including those used in TCM. Efforts have been undertaken by several scientists who are knowledgeable both in TCM and modern science/medicine to identify the individual pharmacological activities of the components in TCM formulations. Usually, scientists are unable to relate the pharmacological or clinical effects of TCM to one ingredient or chemical constituent present in the TCM formulation. As in other traditional system remedies it is hypothesized that the various ingredients in TCM act synergistically to produce the intended clinical effect. It is also thought that some of the ingredients in these formulations act to reduce the adverse effects of some of the other active ingredients. Chinese University of Hong Kong is one of the prominent institutions trying to scientifically study TCM drugs and they publish a journal named Abstracts of Chinese Medicine that publishes English abstracts of TCM studies published in other languages. One of the difficulties in testing the quality of TCM products is the lack of consistency in the identity of the components used in TCM such as plant products, animal products and minerals. Since herbs form the major constituents of TCM formulations, pharmacognosy and medicinal chemistry of natural products is of utmost importance to studying TCM scientifically and to assessing the quality and authenticity of TCM products.

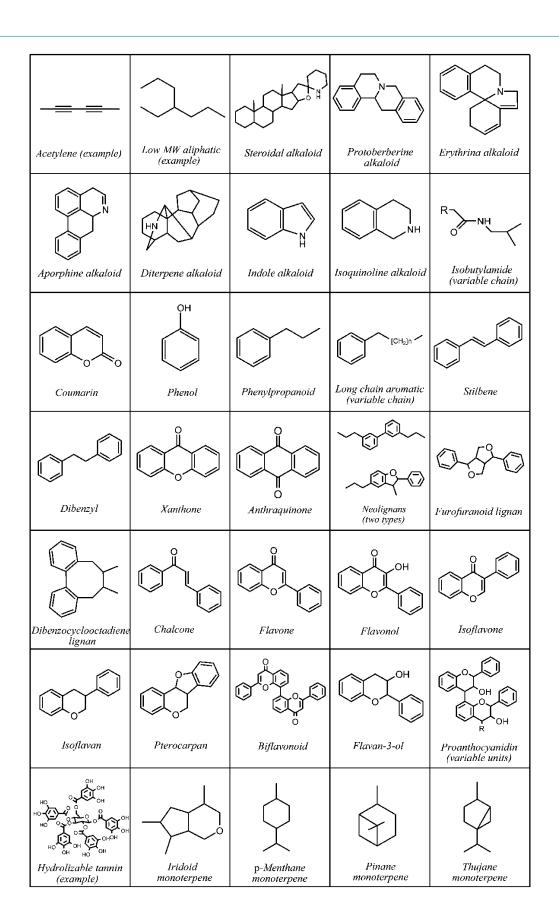
Pharmacognosy and Medicinal Chemistry of TCM

Pharmacognosy is the study of medicinal substances derived from natural sources. The constituents of TCM formulations are derived from natural sources. Since these substances do not exist in the form of pure chemicals as in the case of modern drugs, identification and authentication pose a big challenge to scientists who try to study TCM using modern scientific methods. The problem is complicated by the complexity of the constituents present in single TCM formulations. This is where pharmacognosy and medicinal chemistry can contribute to scientific studies of TCM. In pharmacognosy, drugs are identified by their botanical characteristics, microscopic properties and chemical characteristics. Pharmacognosy utilizes several techniques in phytochemistry to characterize the drugs chemically and to isolate and identify the possible active compounds in the natural products. WHO monographs of natural products typically includes the following pharmacognostic details: definition, synonyms, vernacular names, geographical distribution, description of the plant/ source, general appearance of the crude drug material, organoleptic properties, microscopic characteristics, powdered material description, general identity tests, purity tests, chemical assays, major chemical constituents and medicinal uses [4]. The original techniques used in pharmacognosy to identify drugs were based on the morphology and organoleptic characteristics. With the advancement of science and technology, other methods such as microscopy and physicochemical testing methods are being utilized in pharmacognostic studies. Among these, phytochemistry plays an important role in identifying herbal drugs used in traditional medicine systems. Most of the pharmacologically active compounds in plants are secondary metabolites. Major active chemical compounds present in medicinal herbs used in TCM are of the following nature: aliphatics, alkaloids, simple phenolics, lignans, quinones, polyphenols (flavonoids and tannins), and mono-, sesqui-, di-, and triterpenes (Table 2, Table 3) [5], have done a very systematic study of phytochemicals in TCM and their possible relationships with the TCM properties (Table 2 and 3).

phytochemical class(es)	CN	NC	TCM profile	skeletal type	glycosides (%)	MW (mean)	log P (mean
liphatic	16	64	Tonify Qi, Stop Bleeding, Tonify Blood, Wind Heat	acetylene	0	286	5.30
liphatic, simple phenolic	36	589	Wind Cold, Invigorate Blood, Drain Dampness	low MW, volatile	5	250	3.4
lkaloid	27	263	Emetic, Phlegm Heat	steroidal	14	475	3.4
	29	186	Astringent, Damp Heat, Cough & Wheezing, Drain Dampness	protoberberine, erythrina, aporphine	4	345	2.8
	31	226	Astringent, Internal Wind, Cough & Wheezing, Phlegm Cold, Toxic Heat	mixed	10	355	1.8
lkaloid, ¹ simple phenolic	37	591	Internal Cold, Stop Bleeding, Astringent	diterpene, ¹ indole, ¹ isoquinoline, ¹ isobutylamide ¹	12	427	2.7
imple phenolic,1 diterpene2	18	166	Phlegm Heat, Wind Damp	coumarin,1 jatrophane,2 abietane2	6	487	3.9
simple phenolic	28	273	Wind Cold, Internal Cold, Phlegm Cold	phenol, phenylpropanoid, long-chain aromatic	2	234	2.4
	33	426	Laxative, Tonify Yang, Heat (Blood)	stilbene, xanthone, phenylpropanoid, phenol	66	415	0.0
	38	651	Aromatic (Damp), Invigorate Blood, Regulate Qi	coumarin, long-chain aromatic, phenyl- propanoid, phenol, dibenzyl	6	287	2.7
lignan	6	31	Wind Cold, Internal Cold, Astringent	neolignan, furo- and epoxy- tetrahydrofuranoid	0	371	3.1
	10	44	Tonify Yang, Wind Damp	neolignan, furofuranoid	87	561	0.2
	12	45	Astringent	dibenzocyclooctadiene	0	434	4.1
uinone	8	70	Laxative, Tonify Yang, Stop Bleeding	anthraquinone	16	304	2.1
polyphenol	1	11	Cough & Wheezing, Tonify Yin	flavonol	0	299	2.3
	20	91	Astringent, Stop Bleeding	tannin, biflavonoid	27	753	2.7
	23	157	Wind Heat, Tonify Blood, Damp Heat	flavonoid, isoflavonoid, chalcone	55	478	0.8
	35	480	Damp Heat, Tonify Qi, Tonify Yang	flavonoid, isoflavonoid, chalcone	25	400	2.4
	40	831	Toxic Heat, Tonify Yang, Tonify Yin, Cough & Wheezing	flavone, flavonol	46	496	1.2
olyphenol, ¹ lignan ²	21 24	105 167	Damp Heat, Tonify Yang	isoflavonoid, ¹ pterocarpan, ¹ neolignan ²	21 61	392 555	2.4
polyphenol, ¹ quinone ² monoterpene	24 25	130	Laxative, Astringent Heat (Blood), Tonify Yang,	flavan-3-ol, ¹ gallate ester, ¹ proantho- cyanidin, ¹ anthraquinone ² iridoid, menthane, pinane	60	348	-1.0
	23 32	403	Heat (Qi) Internal Cold, Wind Cold,	menthane, thujane, camphane, pinane,	0	148	-1.0
	52 15	403 63	Phlegm (Heart)	fenchane, acyclic	0	326	1.4
sesquiterpene diterpene, ¹ nortri-	39		Phlegm Cold, Wind Damp	lactones, xanthane, pseudoguaiane, eudesmane	0		3.4
	39 17	613 75	Regulate Qi, Invigorate Blood, Aromatic (Damp) Cathartic, Toxic Heat, Astringent	many types tricyclic, ¹ quassinoid ²	15	232 427	0.2
terpene ² literpene ¹ tri-	30	229	Invigorate Blood, Wind Damp,	abietane, ¹ clerodane, ¹ labdane, ¹	2	340	3.0
terpene ² riterpene	2	11	Phlegm Heat Damp Heat, Toxic Heat	dammarane ² quassinoid	0	430	2.1
and pone	3	28	Shen	tetracyclic	3	518	3.1
	4	20	Toxic Heat	sterol	82	614	0.4
	5	29	Tonify Qi	sterol	76	643	2.0
	7	23	Toxic Heat, Invigorate Blood, Tonify Yang	pentacyclic	0	458	6.:
	9	61	Wind Heat	pentacyclic	54	618	3.3
	11 13	86 60	Shen, Drain Dampness Toxic Heat, Wind Heat,	tetracyclic pentacyclic	0 56	543 827	3.4 1.3
	14	85	Phlegm Cold Regulate Qi	limonoid	4	588	2.8
	19	130	Drain Dampness, Shen	tetracyclic	2	484	4.8
	22	142	Tonify Qi, Stop Bleeding, Wind Heat	tetracyclic	82	723	2.0
	26	193	Tonify Yin, Tonify Yang, Internal Wind, Heat (Qi)	sterol	61	695	2.7
	34	561	Drain Dampness, Wind Damp, Wind Heat, Toxic Heat	pentacyclic	45	636	3.

Table 2: Phytochemical classes of compounds and TCM properties of some herbal medicines.

(Source: Ehrman et al., 2007.)



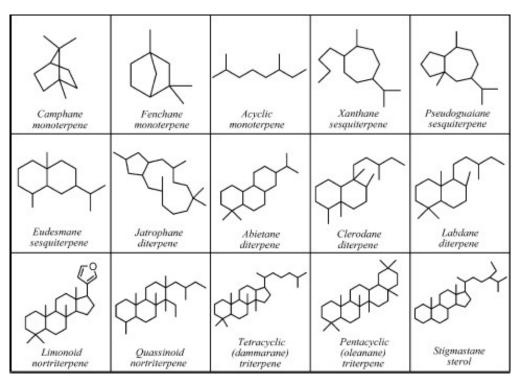
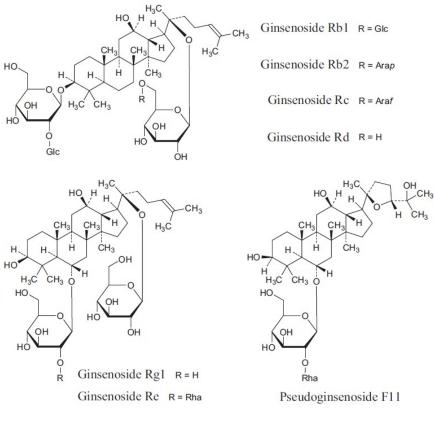


Table 3: Types of phytochemical classes seen in TCM drugs(Source: Ehrman et al., 2007)

One of the most popular examples for the application of pharmacognostic and phytochemical principles in TCM is the identification of the appropriate kind of ginseng used in TCM for various ailments. Ginseng is one of the top selling herbal drugs around the globe, and is used very frequently in TCM and other nutraceutical preparations. Considering its widespread use, both United States Pharmacopoeia (USP) and European Pharmacopoeia (Ph Eur) have included monographs for Panax ginseng (Korean or Asian ginseng) and P. quinquefolius (American ginseng). Compounds from Ginseng have been shown to have anticancer properties and also increase ubiquitination of multi drug resistant 1 (MDR1) gene that is responsible for the production of P-glycoproteins that pumps out some of the antitumor drugs from the cells [6,7]. Different species of Ginseng are available in the market, although P. ginseng (Korean or Asian ginseng) is mainly used in TCM

preparations. One of the major groups of marker compounds used for identification and quality control of Ginseng are ginsenosides. Ginsenosides are triterpenes which are polar in nature (Figure 1). The four common aglycone moieties present in these ginsenosides are protopanaxadiol, protopanaxatriol, ocotillol-type and oleanolic acid. The sugar moiety attached to the aglycones is important in determining their bioactivity. For example, if there are no sugar moieties attached to the 20-position of the aglycone, the ginsenoside acts as a prooxidant (e.g., Rg3, Rh2, and Rg2). If a glucose molecule is attached to the 6position instead of the 20-position, that makes the ginsenoside an antioxidant (e.g., Rh1) [8]. Different species of Ginseng contain different types of ginsenosides and the content of ginsenosides varies from one species to another. For example, Ginsenoside Rf is Asian ginseng (P. ginseng) specific while 24(R)-pseudoginsenoside F11 is specific to American ginseng (P. quinquefolius) [9,10].



Chemical structures of ginsenosides (Sources: WHO monographs on selected medicinal plants. 2009. 4; Yoon et al., 1998).

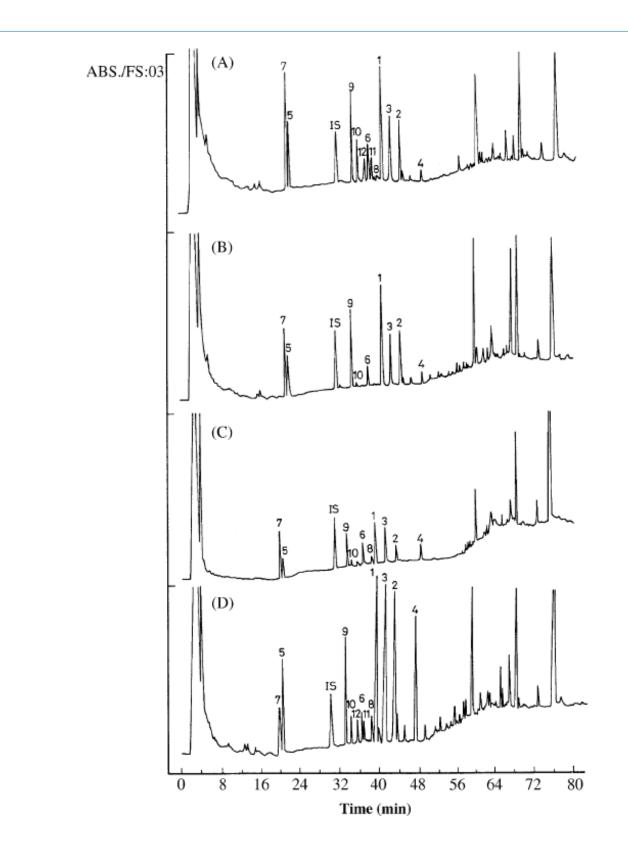
Testing for the presence or absence of some of these specific compounds is a very reliable chemical method for detecting the authenticity or adulteration of TCM ingredients and formulations. Absolute quantification of chemical constituents can sometimes lead to problems because the content of these secondary metabolites may vary depending on the climate, geographical location and nutrition. A more reliable method is to calculate the ratio between the contents of the marker compounds, which appears to be constant for a given species. Thus Rg1/Re and Rb2/Rc ratios of ginsenosides are constant for P. ginseng and P. quinquefolius irrespective of their origin, and may be used as a more specific criterion for identification. Thus, determining the ratio between two constituents in a plant drug is more dependable in identifying a plant drug than quantifying single compounds. Thin layer chromatography (TLC) is often used in pharmacognosy and very often used for the authentication of Ginseng. However more sensitive methods such as HPLC and LC-MS techniques are also used in several laboratories to identify these TCM drugs. TLC is a very easy, cost effective and versatile technique for

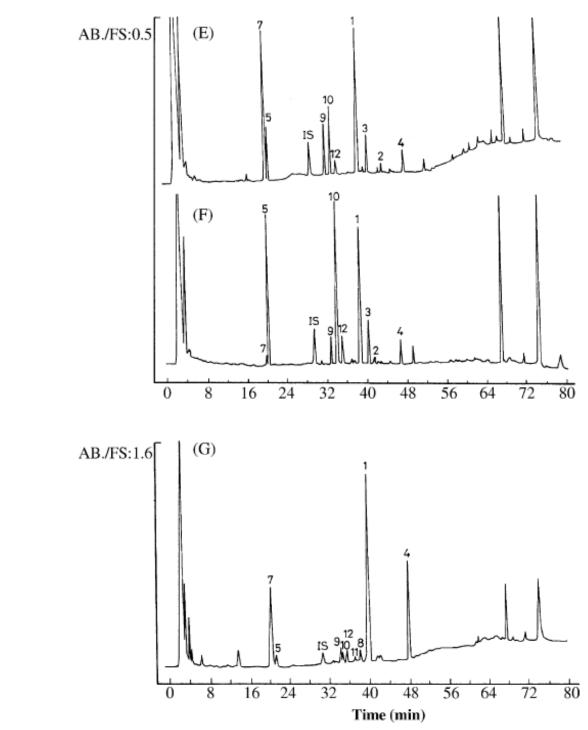
the fingerprint analysis of plant extracts. United States Pharmacopoeia and European Pharmacopoeia still recommend TLC for the identification of plant-derived drugs [Fuzzati N., 2004]. *P. ginseng* and *P. quinquefolius* can be differentiated using TLC with a mixture of chloroform, methanol and water (13:7:2) as the first developing solvent system (SS-I) and a mixture of water, *n*-butyl alcohol and ethyl acetate (5:4:1) as the second solvent developing system (SS-II). Anisaldehyde reagent is usually used as the spray reagent. This method separates Ginsenosides F11, Rg1, Rg2, Rf, Rc, Rd, Rc Rb2, Rb1 and Ro. Ginsenoside F11 and Rf being specific to *P. ginseng* and *P. quinquefolius* respectively, this method can used to detect the presence of these two major ginsengs in herbal formulations [Fuzzati N., 2004].

High performance liquid chromatography (HPLC) is another technique that is commonly used to detect the phytochemicals in plant-derived drugs. HPLC provides a fast, sensitive and efficient way to analyze the phytochemicals. It is ideal for analyzing Ginsenosides which are polar saponins. HPLC also has the advantage of using very sensitive detection systems such as fluorescent detection, mass spectrometry (MS), electrochemical detection (ECD) etc. which will help to lower the limits of detection (LOD) and limits of quantification (LOQ). However, generally ultraviolet (UV) detection method is used for detecting and quantifying ginsenosides in herbal samples. Most studies use C18 column with water or phosphate buffers and acetonitrile mixtures as solvent system using isocratic or gradient elution mode [11].

Chemical characterization not only helps to detect adulteration, but also can help predict the efficacy of TCM medications by using structural activity relationship (SAR) data. Chuang et al. [12], did a comparative HPLC study of 37 different commercial samples of ginseng by qualitatively and quantitatively determining various ginsenosides (Rb1, Rb2, Rc, Re, Rd, Rg1, Rf, Rg2 Ro, and three malonyl derivatives m-Rb1, m-Rb2 and m-Rc) present in the samples. This study showed that the content of ginsenosides decreased in the order P. *notoginseng* > P. *quinquefolius* > root hair of P. *ginseng* > red and white ginseng. Red ginseng is produced by steaming and drying the roots of P. ginseng, whereas white ginseng is obtained from dried roots of P. ginseng. This study also revealed a chemical difference between red and white ginseng (i.e., red ginseng did not contain malonyl ginsenosides). The steaming process possibly caused the degradation of malonyl ginsenosides in white ginseng which explains the absence of malonyl ginsenosides in the red ginseng. These types of chemical changes are very important in TCM because most of the TCM formulations are prepared by decoction method. Another significance of phytochemical quantification of TCM drugs is the ability to correlate the pharmacological properties with the chemical content. For example, the ratio of Rg1/Rb1 is much lower in P. quinquefolius compared to *P. ginseng.* It is believed that the Rg1/Rb1 ratio is related to the ethnopharmacological properties of ginseng [13]. It has been shown that Rb1 is a weak CNS depressant whereas Rg1 is a CNS stimulant. Thus, a higher Rg1/Rb1 ratio might be responsible for the 'cooling' or calming property of P. quinquefolius, while a higher Rg1/Rb1 ratio of P. ginseng might be responsible for its 'hot' or stimulating property [14].

Infrared spectroscopy and capillary electrophoresis (CE) techniques are also used to chemically characterize natural products used in TCM.





HPLC chromatograms of ginseng extracts. (A) white ginseng, (B) red ginseng, (C) Shihchu ginseng, (D) Asian ginseng hairy roots, (E) wild American ginseng, (F) cultivated American ginseng, (G) Sanchi ginseng. Peak's identity: (1) Rb1, (2) Rb2, (3) Rc, (4) Rd, (5) Re, (6) Rf, (7) Rg1, (8) Rg2, (9) Ro, (10) mRb1, (11) mRb2, (12) mRc.

(Source: Chuang et al., 1995).

Apart from physicochemical methods, lately biological methods have also been used to authenticate plant drugs including TCM drugs. One of the methods of authentication of plant-based drugs involves genetic analysis at the DNA level [3]. In the Ginseng example, as in other plant species, genetic differences exist between different species. Genetic differences are more definitive than phenotypic difference such as morphology and chemical constitution. Therefore, genetic methods of identification are much more reliable than those based on traditional pharmacognostic methods (similar to identifying human beings using DNA fingerprinting rather

than based on external features). Random amplified polymorphic DNA (RAPD), DNA fingerprinting using multi-loci probes, restriction fragment length polymorphism (RFLP), amplified fragment length (AFLP), and microsatellite marker polymorphism technology are some of the techniques employed in genetic identification of natural products [3]. Although the DNAbased technologies are ideal for identifying individual products, it may be technically difficult to identify components in a complex TCM formulation. Nevertheless, these types of technologies may be a good start in the right direction for the authentication of TCM products.

Apart from physicochemical and biological methods, text-mining is another useful technique for collecting ethnopharmacological and pharmacognostic data on TCM drugs. Text-mining is a sub-field of data mining that helps to extract data from free-text and the internet [15]. Given the ancient nature of TCM and the complexities of its diagnostic methods and drug formulations, textmining will prove to be very useful in gathering data on TCM.

A highly commendable study by Gao et al. [16], combined several techniques systematically to authenticate the identity of components and the efficacy of a simple (two- component) TCM formulation consisting of Radix *Astragali* and *Radix Angelicae Sinensis (Dang Gui Bu Xue Tang)*. The investigators chose a simple TCM formulation and systematically studied the various components of the formulation and their pharmacological effects, eventually establishing a clear correlation between the components and the efficacy of the formulation. The future of TCM lies in systematic studies like this which can counter the major criticisms faced by TCM.

Conclusion

A lack of sufficient clinical evidence and difficulty in quality control are the major challenges faced by TCM. Another problem faced by herbal drugs in general is the absence of association between chemical marker compounds and pharmacological activity. Modern pharmacognosy, armed with several cutting-edge techniques, is extremely useful in physicochemical identifying and characterizing TCM drugs and formulations. The ethnopharmacological component of pharmacognosy will help in identifying the traditional use of TCM medications and guide the formulation of hypotheses that can be tested using modern pharmacological techniques and clinical trials. With advancements in science and medicine, the complexities and ambiguities presented by TCM philosophy and drugs to the Western world can be slowly eliminated and hopefully safe and efficacious medications proven by modern science can emerge from TCM.

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Declaration of Interest Statement

Both authors have no financial or non-financial conflicts of interest to declare.

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